



Express Mail Label No.: EL825370658US

Page 1 of 6

FORM PT-149 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				ATTY DOCKET NO.: INK-039 (2108/36) APPLICANT: Drzaic et al. SERIAL NO.: 09/289,507 FILING DATE: April 9, 1999 GROUP: 2773			
U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
JHN	A160	2,766,478	10/16/1956	Raley, Jr. et al.	—	—	10/15/1951
	A161	3,389,194	6/18/1968	Somerville	—	—	6/20/1966
	A162	3,423,489	1/21/1969	Arens et al.	—	—	11/1/1966
	A163	3,617,374	11/2/1971	Hodson et al.	—	—	4/14/1969
	A164	4,123,206	10/31/1978	Dannelly	—	—	2/7/1977
	A165	4,196,437	4/1/1980	Hertz	—	—	3/6/1978
	A166	4,279,632	7/21/1981	Frosch et al.	—	—	5/8/1979
	A167	4,303,433	12/1/1981	Torobin	—	—	8/18/1980
	A168	4,888,140	12/19/1989	Schlameus et al.	—	—	2/11/1987
	A169	4,960,351	10/2/1990	Kendall, Jr. et al.	—	—	10/24/1986
	A170	5,040,960	8/20/1991	Shioya et al.	—	—	12/7/1988
	A171	5,175,047	12/29/1992	McKenney et al.	—	—	9/10/1991
	A172	5,260,002	11/9/1993	Wang	—	—	12/23/1991
	A173	5,398,131	3/14/1995	Hall et al.	—	—	8/13/1992
	A174	5,602,572	2/11/1997	Rylander	—	—	8/25/1994
	A175	5,604,027	2/18/1997	Sheridon	—	—	1/3/1995
	A176	5,619,307	4/8/1997	Machino et al.	—	—	7/6/1995
	A177	5,625,460	4/29/1997	Tai	—	—	12/9/1993
	A178	5,648,801	7/15/1997	Beardsley et al.	—	—	12/16/1994
	A179	5,649,266	7/15/1997	Rushing	—	—	4/18/1996
	A180	5,650,199	7/22/1997	Chang et al.	—	—	11/22/1995
	A181	5,672,381	9/30/1997	Rajan	—	—	6/7/1995
JHN	A182	5,699,102	12/16/1997	Ng et al.	—	—	10/15/1990
EXAMINER JIMMY H. NGUYEN				DATE CONSIDERED 11/08/01			

RECEIVED

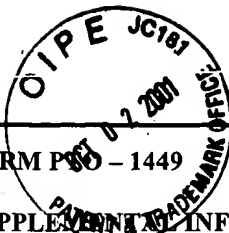
OCT 05 2001

Technology Center 2600



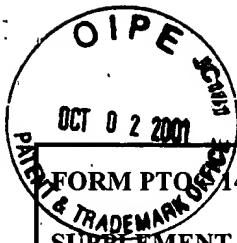
FORM PTO - 1 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				ATTY DOCKET NO.: INK-039 (2108/36) APPLICANT: Drzaic et al. SERIAL NO.: 09/289,507 FILING DATE: April 9, 1999 GROUP: Technology Center 2600				
U.S. PATENT DOCUMENTS								
EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
JHN	A183	5,715,514	Williams et al.	—	—	10/2/1996		
	A184	5,722,781	Yamaguchi	—	—	5/18/1995		
	A185	5,738,716	Santilli et al.	—	—	8/20/1996		
	A186	5,751,433	Narendranath et al.	—	—	6/27/1997		
	A187	5,751,434	Narendranath et al.	—	—	6/27/1997		
	A188	5,752,152	Gasper et al.	—	—	2/8/1996		
	A189	6,014,247	Winter et al.	—	—	6/5/1998		
	A190	6,017,584	Albert et al.	—	—	8/27/1998		
	A191	6,025,896	Hattori et al.	—	—	10/6/1998		
	A192	6,045,955	Vincent	—	—	10/14/1998		
	A193	6,064,784	Whitehead et al.	—	—	8/13/1998		
	A194	6,067,185	Albert et al.	—	—	8/27/1998		
	A195	6,107,117	Bao et al.	—	—	12/20/1996		
	A196	6,117,368	Hou	—	—	12/21/1994		
	A197	6,118,426	Albert et al.	—	—	8/27/1998		
	A198	6,144,361	Gordon, II et al.	—	—	9/16/1998		
	A199	6,184,856 B1	Gordon, II et al.	—	—	9/16/1998		
	A200	6,225,971 B1	Gordon, II et al.	—	—	9/16/1998		
	A201	6,239,896 B1	Ikeda	—	—	5/28/1999		
	A202	6,262,706 B1	Albert et al.	—	—	8/27/1998		
	A203	6,262,833 B1	Loxley et al.	—	—	10/6/1999		
JHN	A204	6,271,823 B1	Gordon, II et al.	—	—	9/16/1998		
FOREIGN PATENT DOCUMENTS								
EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
JHN	B111	563 807	CH			2/14/1973	N	N (abstract in English)
EXAMINER Jimmy H. Nguyen				DATE CONSIDERED 11/08/01				

RECEIVED
 OCT 05 2001
 Technology Center 2600



FORM PTO - 1449				ATTY DOCKET NO.: INK-039 (2108/36)					
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				APPLICANT: Drzaic et al.					
				SERIAL NO.: 09/289,507					
				FILING DATE: April 9, 1999			GROUP: 2773		
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
JHN	B112	0 087 193 A2	8/31/1983	EP	—	—	2/15/1983	N	Y
	B113	0 600 878 B1	2/12/1997	EP			8/29/1991	N	Y
	B114	0 685 101 B1	12/6/1995	EP			1/31/1994	N	Y
	B115	0 708 798 B1	5/1/1996	EP			6/21/1994	N	Y
	B116	0 778 083 A1 *	6/11/1997	EP			12/4/1996	N	Y
	B117	0 930 641 A2	7/21/1999	EP			1/19/1999	N	Y
	B118	0 962 808 A2	12/8/1999	EP			5/28/1999	N	Y
	B119	1 000 741 A2	5/17/2000	EP			10/19/1999	N	Y
	B120	1 024 540 A2	8/2/2000	EP			1/21/2000	N	Y
	B121	1 089 118 A2	4/4/2001	EP			9/18/2000	N	Y
	B122	2 330 451 A	4/21/1999	GB			10/14/1997	N	Y
	B123	00 127478	5/9/2000	JP			10/22/1998	Y	Y
	B124	00 137250	5/16/2000	JP			11/4/1998	Y	Y
	B125	00 171839	6/23/2000	JP			12/9/1998	Y	Y
	B126	00 194020	7/14/2000	JP			12/28/1998	Y	Y
	B127	00 194021	7/14/2000	JP			12/28/1998	Y	Y
	B128	00 227612	8/15/2000	JP			2/5/1999	Y	Y
	B129	00 258805	9/22/2000	JP			10/22/1999	Y	Y
	B130	00 259102	9/22/2000	JP			10/22/1999	Y	Y
	B131	00 285219	10/13/2000	JP			3/31/1999	Y	Y
	B132	00 321605	11/24/2000	JP			5/14/1999	Y	Y
	B133	00 322002	11/24/2000	JP			5/14/1999	Y	Y
	B134	00 322003	11/24/2000	JP			5/14/1999	Y	Y
	B135	00 322004	11/24/2000	JP			5/14/1999	Y	Y
	B136	00 322005	11/24/2000	JP			5/6/1999	Y	Y
JHN	B137	00 322006	11/24/2000	JP	—	—	5/14/1999	Y	Y
EXAMINER JIMMY H. NEWTON				DATE CONSIDERED 11/28/01					

RECEIVED
OCT 05 2001
Technology Center 2600



FORM PTO 1449

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

ATTY DOCKET NO.: INK-039 (2108/36)

APPLICANT: Drzaic et al.

SERIAL NO.: 09/289,507

FILING DATE: April 9, 1999

GROUP:

RECEIVED
OCT 05 2001
Technology Center 2600

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTR Y CODE	CLASS	SUB CLASS	FILING DATE	ABSTRAC T ONLY	ENGLISH LANG Y/N
JH	B138	00 322007	11/24/2000	JP	—	—	5/14/1999	Y	Y
	B139	00 352946	12/19/2000	JP			6/11/1999	Y	Y
	B140	06 239896	5/29/2001	JP			5/28/1999	Y	Y
	B141	11 073004	3/16/1999	JP			7/1/1998	N	N (abstract in English)
	B142	11 073083	3/16/1999	JP			8/29/1997	N	N (abstract in English)
	B143	11 084953	3/30/1999	JP			9/2/1997	N	N (abstract in English)
	B144	11 143201	5/28/1999	JP			11/14/1997	Y	Y
	B145	11 153929	6/8/1999	JP			11/19/1997	Y	Y
	B146	11 161115	6/18/1999	JP			11/21/1997	Y	Y
	B147	11 352526	12/24/1999	JP			6/10/1998	Y	Y
	B148	30 53224	3/7/1991	JP			7/21/1989	N	N (abstract in English)
	B149	30 91772	4/17/1991	JP			9/4/1989	N	N (abstract in English)
	B150	30 96925	4/22/1991	JP			9/8/1989	N	N (abstract in English)
	B151	43 07523	10/29/1992	JP			4/5/1991	N	N (abstract in English)
	B152	95/22085	8/17/1995	WO			2/9/1995	Y	Y
	B153	99/03626	1/28/1999	WO			6/5/1998	Y	Y
	B154	99/53371	10/21/1999	WO			4/9/1999	Y	Y
	B155	00/49593	8/24/2000	WO			2/21/2000	N	N (abstract in English)
JH	B156	01/11424	2/15/2001	WO	—	—	8/2/2000	N	N (abstract in English)

EXAMINER Jimmy H. Nguyen

DATE CONSIDERED

11/28/01

FORM PTO 1449

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT

ATTY DOCKET NO.: INK-039 (2108/36)

APPLICANT: Drzaic et al.

SERIAL NO.: 09/289,507

FILING DATE: April 9, 1999

GROUP: 2773

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM.
INIT.

OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)

JHN	C44	Ackerman, J., "E Ink of Cambridge Gets Start-Up Funding", <u>Boston Globe</u> , Dec. 27, 1997, p. D4
	C45	Amundson, K. et al, "Flexible, Active-Matrix Display Constructed Using a Microencapsulated Electrophoretic Material and an Organic-Semiconductor-Based Backplane", <u>SID 01 Digest</u> , pp 160-63
	C46	Anchor Desk, "E-Paper Here Sooner Than You Think", http://www.zdnet.com/filters/printerfriendly/0,6061,2656348-10,00.html
	C47	Bruce, C.A., "Dependence of Ink Jet Dynamics on Fluid Characteristics", <u>IBM J. Res. Develop.</u> , Dec. 5, 1975, pp. 258-270
	C48	BusinessWire, "EInk and Lucent Technologies Demonstrate World's First Flexible Electronic Ink Display with Plastic Transistors", http://www.zdnet.com/cgi-bin/printme.fcgi?t=zdi
	C49	Chen, Y. et al, "A Conformable Electronic Ink Display Using a Foil-Based a-Si TFT Array", <u>SID 01 Digest</u> , pp 157-59
	C50	Comiskey, B. et al, "An Electrophoretic Ink for All-Printed Reflective Electronic Displays", <u>Nature</u> , July 16, 1998, Vol. 394, pp. 253-55
	C51	Drzaic et al., "A Printed and Rollable Bistable Electronic Display", <u>1998 SID International Symposium, Digest of Technical Papers</u> , 1998, Vol. 29, pp. 1131-4
	C52	"Electronic Ink' Sign Debuts at JC Penney", <u>Boston Globe</u> , May 4, 1999, p. C9
	C53	Esen, "Synthesis of Spherical Microcapsules by Photopolymerization in Aerosols", <u>Colloid & Polymer Science</u> , Vol. 275, No. 2, 1997, pp. 131-137
	C54	Flaherty, J. "What Did Disappearing Ink Grow Up to Be? Electronic Ink", <u>The New York Times</u> , May 6, 1999, p. E3
	C55	Franjinone et al., "The Art and Science of Microencapsulation", <u>Technology Today</u> , 1995, no page numbers
	C56	Heinzl et al., "Ink Jet Printing", <u>Advances in Electronics and Electron Physics</u> , 1985, Vol. 65, pp. 91-171
	C57	Kazlas, P. et al, "SVGA Microencapsulated Electrophoretic Active Matrix Display for Information Appliances", <u>SID 01 Digest</u> , pp 152-55
	C58	Lee, L., "A Magnetic-Particles Display", <u>Proc. Soc. Inf. Disp. (USA)</u> , Vol. 16, No. 3 (1975), pp. 177-184
	C59	Matsumoto et al., "A Production Process for Uniform-Size Polymer Particles", <u>Journal of Chemical Engineering of Japan</u> , Vol. 22, No. 6, 1989, pp. 691-694
	C60	Matsumoto et al., "Generation of Monodispersed Concentric Two Phase Droplets for Encapsulation", <u>ICLASS '82, Reports & Proc. 2nd Int. Conf. On Liquid Atomization & Spray Systems</u> , June 20-24, 1982, pp. 63-67
	C61	Matsumoto et al., "Production of Monodispersed Capsules", <u>J. Microencapsulation</u> , Vol. 3, No. 1, 1986, pp. 25-31
	C62	Peterson, I., "Rethinking Ink. Printing the Pages of an Electronic Book", <u>Science News</u> , June 20, 1998, Vol. 153
JHN	C63	Ridley et al, "All-Inorganic Field Effect Transistors Fabricated by Printing", <u>Science</u> , , October 22, 1999, Vol. 286, pp. 746-48

EXAMINER Jimmy H. Nguyen

DATE CONSIDERED 11/08/01

RECEIVED

OCT 05 2001

Technology Center 2600



FORM PTO 449 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT		ATTY DOCKET NO.: INK-039 (2108/36) APPLICANT: Drzaic et al. SERIAL NO.: 09/289,507 FILING DATE: April 9, 1999 GROUP: 2773	
OTHER ART, JOURNAL ARTICLES, ETC.			
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)		
JHN	C64	Vandegaer Jan E., "Microencapsulation: Processes and Applications ed.", <u>American Chemical Society Symposium</u> , Chicago, IL, 1973, pp. v-x, 1-180 (published by Plenum Press, New York, 1974),	
	C65	Shimoda et al., "Multicolor Pixel Patterning of Light-Emitting Polymers by Ink-Jet Printing", <u>SID 99 Digest</u> , May 1999, pp. 376-379	
JHN	C66	Soule, C.A., "E Ink Pens Deal with Lucent", Mass. High Technology, July 10, 2000, pp. 1, 18	
EXAMINER JIMMY H. NEW		DATE CONSIDERED 11/28/91	

WHYTECE210836.2138635_1

RECEIVED
OCT 05 2001
Technology Center 2600